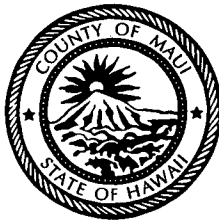


ALAN M. ARAKAWA
Mayor



DON MEDEIROS
Director

RECEIVED MARC I. TAKAMORI
Deputy Director
2016 NOV 10 AM 9:47 (808) 270-7511

OFFICE OF THE MAYOR

DEPARTMENT OF TRANSPORTATION

COUNTY OF MAUI
2145 Kaohu Street, Suite 102
Wailuku, Hawaii, USA 96793
November 10, 2016

RECEIVED
2016 NOV 14 AM 9:31
OFFICE OF THE
COUNTY CLERK

Honorable Alan Arakawa
Mayor, County of Maui
200 South High Street
Wailuku, Hawaii 96793

For Transmittal to:

Honorable Michael White, Chair
and Members of Maui County Council
200 South High Street
Wailuku, Hawaii 96793

APPROVED FOR TRANSMITTAL

Al Arakawa *11/10/16*
Mayor Date

Dear Chair White:

SUBJECT: FTA Section 5339 Bus and Bus Facility Formula Program

In accordance with Ordinance No. 4334, Bill 62 (2016) Fiscal Year 2017 Budget, we are hereby transmitting to you a copy of the notice of grant award HI-34-0001 in the amount of \$415,834 and apportionments for grant HI-2016-002-00 in the amounts of \$250,000 and \$332,900 under the above mentioned FTA program. These funds will be utilized for a CAD/AVL system for the Maui Bus program. Per the State DOT, the grant for HI-2016-002-00 is forthcoming and we are authorized to incur costs under the grant.

Thank you for your attention to this matter. Should you have any questions, please feel free to contact me at ext. 7511.

Sincerely,

Don Medeiros
Don Medeiros
Director

Attachments

Cc: Sananda K. Baz, Budget Director

COUNTY COMMUNICATION NO. 16-297



STATE OF HAWAII
DEPARTMENT OF TRANSPORTATION
STATEWIDE TRANSPORTATION PLANNING OFFICE
200 RODGERS BOULEVARD
HONOLULU, HAWAII 96819-1812

September 27, 2016

FORD N. FUCHIGAMI
DIRECTOR

Deputy Director
JADE T. BUTAY
ROSS M. HIGASHI
EDWIN H. SNIFFEN
DARRELL T. YOUNG

IN REPLY REFER TO:
STP 8.2030

DEPT OF TRANSPORTATION

2016 SEP 30 PM 2:15

RECEIVED
COUNTY OF MAUI

Mr. Don Medeiros
Director
County of Maui
Department of Transportation
200 South High Street
Wailuku, Hawaii 96793-2155

Dear Mr. Medeiros:

Subject: 49 U.S.C. Section 5339 Bus and Bus Facilities Program
49 U.S.C. Section 5311 Formula Grants for Rural Areas Program
49 U.S.C. Section 5305(d) Metropolitan Planning Program
Federal Fiscal Year 2016

The grant for the subject programs has been executed with the Federal Transit Administration. While the Hawaii Department of Transportation currently has the apportionment, the funds cannot be released until the grant process has been completed. Once completed, your federal share of the total apportionments is shown in the table below.

Program	Area	Grant FAIN	Amount
49 U.S.C. Section 5305(d)	Metro	HI-2016-005-00	\$ 200,000
49 U.S.C. Section 5311	Rural	HI-2016-004-00	\$ 492,409
49 U.S.C. Section 5339	Small Urban	HI-2016-002-00	\$ 250,000
49 U.S.C. Section 5339	Rural	HI-2016-002-00	\$ 332,900

Should you have any questions, please contact Mr. Ryan Fujii at telephone number (808) 831-7984.

Very truly yours,


DEAN NAKAGAWA
Planning Program Administrator

Yolande A. A. A.

NEIL ABERCROMBIE
GOVERNOR



STATE OF HAWAII
DEPARTMENT OF TRANSPORTATION
STATEWIDE TRANSPORTATION PLANNING OFFICE
200 RODGERS BOULEVARD
HONOLULU, HAWAII 96819-1812

GLENN M. OKIMOTO
DIRECTOR

Deputy Directors
FORD N. FUCHIGAMI
RANDY GRUNE
AUDREY HIDANO
JADINE URASAKI

IN REPLY REFER TO:
STP 8.1493

February 28, 2014

Ms. Jo Anne Johnson Winer
Director
County of Maui
Department of Transportation
200 South High Street
Wailuku, Hawaii 96793-2155

Dear Ms. Winer:

Subject: Federal Transit Administration (FTA)
Section 5339 Bus and Bus Facility Formula Program
FFY 2013 Grant Application Approval

The FTA approved Grant Number HI-34-0001 for the subject program. The grant is available for use and drawdown. The county's federal share is approved in accordance with the allocation breakdown attached.

Quarterly reports are required and due twenty (20) days after the end of the quarter. Reimbursement requests for the subject grant must include a completed FTA Quarterly Progress and Expenditure Report.

Should you have any questions regarding the grant approval, please contact Mr. Ryan Fujii at (808) 831-7984.

Sincerely,

A handwritten signature in cursive script that reads "Ryan Fujii".

for DEAN NAKAGAWA
Planning Program Administrator

Attachments: Section 5339 Breakdown
FFY 2013 Grant Application

Xc: Orlaene Anderson

RCVD MAR 5 2014

**Section 5339
Breakdown
FFY 2013**

		Notes
FFY '13 5339 Apportionment	1,247,500	Pub. L. 112-141, 2012 and appropriated pursuant to the Consolidated and Further Continuing Appropriations Act, 2013 (Pub. L. 113-6)
State Admin.	0	no admin per fta email 11-21-13. State admin not allowed, therefore, original allocation distributed equally to agencies.
Remaining to Counties	1,247,500	
Hawaii	415,833	
Kauai	415,833	
Maui	415,834	Per email dated 12/13/13, Maui county will defer \$15,834 until next application period. Therefore, current grant is \$400,000.

*Amendment done on 8/17/15
to add back \$15,834*

Notes: 1) Split based on meeting with counties on and concurred with STP (D. Nakagawa). Currently, Maui County does not have access to additional small urban monies of \$332,900. When Maui County can access small urban portion, adjustments will be made going forward.

DOT**FTA**

U.S. Department of Transportation

Federal Transit Administration

Application

Recipient ID:	1623
Recipient Name:	STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION
Project ID:	HI-34-0001-00
Budget Number:	1 - Budget Approved
Project Information:	Bus Purch./Renewal, Fac. & Misc. Equip

Part 1: Recipient Information

Project Number:	HI-34-0001-00
Recipient ID:	1623
Recipient Name:	STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION
Address:	200 Rodgers Boulevard , HONOLULU, HI 96819 5003
Telephone:	(808) 831-7973
Facsimile:	(808) 831-7995

Union Information

Recipient ID:	1623
Union Name:	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1:	c/o William Wilkinson
Address 2:	1625 L. Street, NW
City:	Washington, DC 20036 5687
Contact Name:	Lee Saunders
Telephone:	(202) 429-1000
Facsimile:	(202) 429-1293
E-mail:	wwilkinson@afscme.org
Website:	webmaster@afscme.org

Recipient ID:	1623
Union Name:	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1:	888 Mililani Street, Suite 601
Address 2:	

City:	Honolulu, HI 96813 2991
Contact Name:	Randy Perreira
Telephone:	(808) 543-0011
Facsimile:	(808) 528-4059
E-mail:	rperreir@hgea.org
Website:	www.hgea.org

Recipient ID:	1623
Union Name:	UPW LOCAL 646
Address 1:	1426 North School Street
Address 2:	
City:	Honolulu, HI 96817 1914
Contact Name:	Dayton Nakanelua
Telephone:	(808) 847-2631
Facsimile:	(808) 848-1987
E-mail:	martti@upwhawaii.org
Website:	www.upwhawaii.org

Part 2: Project Information

Project Type:	Grant	Gross Project Cost:	\$999,792
Project Number:	HI-34-0001-00	Adjustment Amt:	\$0
Project Description:	Bus Purch./Renewal, Fac. & Misc. Equip	Total Eligible Cost:	\$999,792
Recipient Type:	State Agency	Total FTA Amt:	\$815,833
FTA Project Mgr:	Audrey Bredehoft	Total State Amt:	\$0
Recipient Contact:	Ryan Fujii	Total Local Amt:	\$183,959
New/Amendment:	None Specified	Other Federal Amt:	\$0
Amend Reason:	Initial Application	Special Cond Amt:	\$0
Fed Dom Asst. #:	20526	Special Condition:	None Specified
Sec. of Statute:	5339-1	S.C. Tgt. Date:	None Specified
State Appl. ID:	None Specified	S.C. Eff. Date:	None Specified
Start/End Date:	Jan. 01, 2014 - Jul. 01, 2015	Est. Oblig Date:	None Specified
Recvd. By State:	Jan. 08, 2014	Pre-Award Authority?:	No
EO 12372 Rev:	Not Applicable	Fed. Debt Authority?:	No
Review Date:	None Specified	Final Budget?:	No
Planning Grant?:	NO		
Program Date (STIP/UPWP/FTA)	Oct. 02, 2013		

Prm Plan) :	
Program Page:	2,20,26,30
Application Type:	Electronic
Supp. Agreement?:	No
Debt. Delinq. Details:	

Urbanized Areas

UZA ID	UZA Name
150000	HAWAII

Congressional Districts

State ID	District Code	District Official
15	1	Colleen W Hanabusa
15	2	Tulsi Gabbard

Project Details

FUNDING SOURCE INFORMATION

FFY 2013 49 USC 5339 Bus & Bus Facility Allocations

This grant represents a federal investment of \$815,833 out of the \$1,247,500 apportioned (Table 12).

RECIPIENT CONTACT

The recipient contact is Ryan Fujii from the Hawaii State Department of Transportation at email address: ryan.fujii@hawaii.gov or 808-831-7984.

PROJECT INFORMATION

The funds have been allocated as follows: Kauai County = \$415,833 and Maui County = \$400,000.

The County of Kauai's allocation will be used to improve transit fleet technology and operating efficiencies through implementation of computer-aided dispatch, automatic vehicle location, and other technological components. A utility vehicle will be procured to support off-site bus stop and fleet maintenance. Shop and office equipment acquisitions will be made to improve/maintain current operations. Administrative and maintenance facility repairs will be done to maintain a state of good repair. See Budget section for detailed information concerning each activity.

The County of Maui's allocation will be used to purchase three (3) 8 passenger accessible and one (1) 25 passenger accessible vehicles to replace decommissioned buses. For further information, see the respective budget line items.

LABOR

All subrecipients (Kauai, Maui and Hawaii county) transit agency employees are represented by the Hawaii Government Employees Association (HGEA, AFSCME, Local 152, AFL-CIO. Also, some Kauai and Hawaii county transit agency employees are represented by the United Public Workers, AFSCME, Local 646, AFL-CIO.

Earmarks

No information found.

Security

No information found.

Part 3: Budget

Project Budget

	Quantity	FTA Amount	Tot. Elig. Cost
<u>SCOPE</u>			
111-00 BUS - ROLLING STOCK	4	\$400,000.00	\$480,000.00
<u>ACTIVITY</u>			
11.12.04 BUY REPLACEMENT <30 FT BUS	4	\$400,000.00	\$480,000.00
<u>SCOPE</u>			
114-00 BUS: SUPPORT EQUIP AND FACILITIES	0	\$415,833.00	\$519,792.00
<u>ACTIVITY</u>			
11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$8,000.00	\$10,000.00
11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	0	\$80,000.00	\$100,000.00
11.42.06 ACQUIRE - SHOP EQUIPMENT	0	\$46,000.00	\$57,500.00
11.42.07 ACQUIRE - ADP HARDWARE	0	\$257,833.00	\$322,292.00
11.42.11 ACQUIRE - SUPPORT VEHICLES	0	\$24,000.00	\$30,000.00
Estimated Total Eligible Cost:			
			\$999,792.00
Federal Share:			
			\$815,833.00
Local Share:			
			\$183,959.00

OTHER (Scopes and Activities not included in Project Budget Totals)

None

SOURCES OF FEDERAL FINANCIAL ASSISTANCE

<u>UZA ID</u>	<u>Accounting Classification</u>	<u>FPC</u>	<u>FY</u>	<u>SEC</u>	<u>Previously Approved</u>	<u>Amendment Amount</u>	<u>Total</u>
150000	2013.25.34.31.2	00	2014	34	\$0.00	\$815,833.00	\$815,833.00

Total Previously Approved:	\$0.00
Total Amendment Amount:	\$815,833.00
Total from all Funding Sources:	\$815,833.00

Alternative Fuel Codes

11.12.04	BUY REPLACEMENT <30 FT BUS	Gasoline
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Extended Budget Descriptions

11.12.04	BUY REPLACEMENT <30 FT BUS	4	\$400,000.00	\$480,000.00
STIP 10/2/13, Item No. MC36, pg 32. Purchases 3-8 pax ADA vehicles and 1-25 pax ADA vehicle. Vehicles to be replaced are: 2001 Ford Aero-Lite 8-Passenger VIN: 1FDWE35F01HB63577 285,588 miles 2001 Ford Aero-lite 8-Passenger VIN: 1FDWE35F21HB21931 247,267 miles 2001 International Aero-Elite 25-Passenger VIN: 1HVBEBAM71H360041 174,544 miles 2001 Ford Aero-lite 8-Passenger VIN: 1FDWE35F01HA67884 318,540 miles				
11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$8,000.00	\$10,000.00
STIP Rev. 16, 10/2/13, Item KC16, pg. 38 Transit operations support equipment to be purchased to enhance service and safe operations for the public and transit staff. Includes furniture and office equipment for transit staff.				
11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	0	\$80,000.00	\$100,000.00
STIP Rev. 16, 10/2/13, Item KC16, pg. 38 Admin and maintenance facility repairs and improvements to ensure existing structures are safe and well maintained. Work to include repair shop gutter replacement and repainting of the existing structure and repair shop safety improvements.				
11.42.06	ACQUIRE - SHOP EQUIPMENT	0	\$46,000.00	\$57,500.00
STIP Rev. 16, 10/2/13, Item KC16, pg. 38				

Changes since the Prior Budget

Part 4. Milestones

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB OUT FOR BID	Feb. 01, 2014
2.	CONTRACT AWARDED	Apr. 01, 2014
3.	FIRST VEHICLE DELIVERED	Oct. 01, 2014
4.	ALL VEHICLES DELIVERED	Dec. 01, 2014
5.	CONTRACT COMPLETE	Dec. 01, 2014

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB Issued	Mar. 01, 2014
2.	Contract Award	Apr. 01, 2014
3.	Contract Complete	Dec. 31, 2014

<https://f5tecmynub.f5e-dot-com/f5tecmynub/4/applications/ViewPrint/ViewPrintDoc.asp?GUID=2/28/2014>

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB Issued	Aug. 01, 2014
2.	Contract Award	Nov. 01, 2014
3.	Contract Complete	Apr. 30, 2015

11.42.06 ACQUIRE - SHOP EQUIPMENT 0 \$46,000 \$57,500

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB Issued	Jul. 01, 2014
2.	Contract Award	Oct. 01, 2014
3.	Contract Complete	Jun. 30, 2015

11.42.07 ACQUIRE - ADP HARDWARE 0 \$257,833 \$322,292

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB Issued	Mar. 01, 2014
2.	Contract Award	May. 01, 2014
3.	Contract Complete	Dec. 31, 2014

11.42.11 ACQUIRE - SUPPORT VEHICLES 0 \$24,000 \$30,000

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB Issued	Aug. 01, 2014
2.	Contract Award	Oct. 01, 2014
3.	Contract Complete	Dec. 31, 2014

Part 5. Environmental Findings

114206 ACQUIRE - SHOP EQUIPMENT 0 \$46,000 \$57,500

Finding No. 1 - Class II(c)

C07 - Acquisition, maintenance of vehicles / equipment

Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

114207 ACQUIRE - ADP HARDWARE	0	\$257,833	\$322,292
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Finding No. 1 - Class II(c)**C07 - Acquisition, maintenance of vehicles / equipment**

Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

114211 ACQUIRE - SUPPORT VEHICLES	0	\$24,000	\$30,000
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Finding No. 1 - Class II(c)**C07 - Acquisition, maintenance of vehicles / equipment**

Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

114220 ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$8,000	\$10,000
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Finding No. 1 - Class II(c)**C07 - Acquisition, maintenance of vehicles / equipment**

Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

114403 REHAB/RENOVATE - ADMIN/MAINT FACILITY	0	\$80,000	\$100,000
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Finding No. 1 - Class II(c)**C08 - Maintenance, rehab, reconstruction of facilities**

Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

111204 BUY REPLACEMENT <30 FT BUS	4	\$400,000	\$480,000
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Finding No. 1 - Class II(c)

C07 - Acquisition, maintenance of vehicles / equipment

Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Part 6: Fleet Status

No information found.

Part 7. FTA Comments

Part 8: Results of Reviews

The reviewer did not find any errors

Part 9: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-20, October 1, 2013)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official's electronic signature is entered for this Grant Agreement, FTA has Awarded Federal assistance in support of the Project described below. Upon Execution of this Grant Agreement by the Grantee named below, the Grantee affirms this FTA Award, and enters into this Grant Agreement with FTA. The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(19), October 1, 2013, <http://www.fta.dot.gov/documents/20-Master.pdf>
- (2) The Certifications and Assurances applicable to the Project that the Grantee has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE GRANTEE DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

FTA AWARD

FTA hereby awards a Federal grant as follows:

Project No: HI-34-0001-00

Grantee: STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION

Citation of Statute(s) Authorizing Project: 49 USC 5339 - Bus and Bus Facilities Formula (FY2013 and forward)

Estimated Total Eligible Cost (in U.S. Dollars): \$999,792

Maximum Total FTA Amount Awarded (in U.S. Dollars): \$815,833

Amount of This FTA Award (in U.S. Dollars): \$815,833

Maximum Percentage(s) of FTA Participation:

Percentages of Federal participation are based on amounts included in the Approved Project Budget, modified as set forth in the text following the Project Description.

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Project Certification Date: 1/29/2014

Project Description:

Bus Purch./Renewal, Fac. & Misc. Equip

The Project Description includes information describing the Project within the Project Application submitted to FTA, and the Approved Project Budget, modified by any additional statements displayed in this Grant Agreement, and, to the extent FTA concurs, statements in other documents including Attachments entered into TEAM-Web.

Awarded By:
Edward Carranza
Deputy Regional Administrator
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
02/26/2014

EXECUTION OF GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Grantee, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Grantee intends to enter into a legally binding agreement in which the Grantee:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

By executing this Grant Agreement, I am simultaneously executing any Supplemental Agreement that may be required to effectuate this Grant Agreement.

Executed by:
Glenn M Okimoto
Director of Transportation
STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION
02/27/2014

HI-34-0001-00 Quarterly Narrative Report

Oct. 01, 2013 through Dec. 31, 2013

As Of Feb. 28, 2014

(DRAFT)

MS/P Report In Progress , No FFR

Part 1: Recipient Information

Project Number:	HI-34-0001-00
Recipient ID:	1623
Recipient Name:	STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION
Address:	200 Rodgers Boulevard , HONOLULU, HI 96819 5003
Telephone:	(808) 831-7973
Facsimile:	(808) 831-7995

Part 2: Project Information

Project No:	HI-34-0001-00
Brief Desc:	Bus Purch./Renewal, Fac. & Misc. Equip
FTA Project Mgr:	Audrey Bredehoft
Start/End Date:	Jan. 01, 2014 - Jul. 01, 2015
Gross Project Cost:	\$999,792
Adjustment Amt:	\$0
Total Eligible Cost:	\$999,792
Total FTA Amt:	\$815,833
Total State Amt:	\$0
Total Local Amt:	\$183,959
Other Federal Amt:	\$0

Part 3: Federal Financial Report

Financial Status

	<u>Previous</u>	<u>This Period</u>	<u>Cumulative</u>
A. Federal Cash on Hand at Beginning of Period			\$0
B. Federal Cash Receipts			\$0
C. Federal Cash Disbursements			\$0
D. Federal Cash on Hand at End of Period			\$0
E. Total Federal Funds Authorized			\$0
F. Federal Share of Expenditures	\$0	\$0	\$0
G. Recipient Share of Expenditures	\$0	\$0	\$0
H. Total Expenditures(F + G)	\$0	\$0	\$0
I. Federal Share of Unliquidated Obligations			\$0
J. Recipient Share of Unliquidated Obligations			\$0
K. Total Unliquidated Obligations(I + J)			\$0
L. Total Federal Share (F + I)			\$0
M. Unobligated Balance of Federal Funds (E - L)			\$0
N. Total Recipient Share Required			\$0
O. Remaining Recipient Share to be provided N - (G + J)			\$0
P. Federal Program Income on Hand at Beginning of Period			\$0
Q. Total Federal Program income earned			\$0
R. Federal Program income expended in accordance with the deduction alternative			\$0
S. Federal Program income expended in accordance with the addition alternative			\$0
T. Federal Program income expended on allowable Transit Capital and Operating expenses			\$0
U. Federal Unexpended Program income (P + Q - R or s or T)			\$0

Indirect Expense

Type	N/A
Rate	0.00%
Base	\$0
Amount Charged	\$0
Federal Share	\$0

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.42.06 ACQUIRE - SHOP EQUIPMENT	0	\$46,000	\$57,500

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.42.07 ACQUIRE - ADP HARDWARE	0	\$257,833	\$322,292

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.42.11 ACQUIRE - SUPPORT VEHICLES	0	\$24,000	\$30,000

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$8,000	\$10,000

<https://ftatecmuweb.ftc dot gov/tecmuweb/Applications/ViewPrint/ViewPrintDoc.asp?CIID> 2/28/2014

3.	Contract Complete	12/31/2014				
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		<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	0	\$80,000	\$100,000

	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	RFP/IFB Issued	8/1/2014				
2.	Contract Award	11/1/2014				
3.	Contract Complete	4/30/2015				

		<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.12.04	BUY REPLACEMENT <30 FT BUS	4	\$400,000	\$480,000

	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	RFP/IFB OUT FOR BID	2/1/2014				
2.	CONTRACT AWARDED	4/1/2014				
3.	FIRST VEHICLE DELIVERED	10/1/2014				
4.	ALL VEHICLES DELIVERED	12/1/2014				
5.	CONTRACT COMPLETE	12/1/2014				

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Award

Federal Award Identification Number (FAIN)	HI-34-0001-01
Temporary Application Number	HI-34-0001-01
Award Name	Bus Purch./Renewal, Fac. & Misc. Equip
Award Status	Active (Executed)
Award Budget Number	0

Part 1: Recipient Information

Name: STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1623	State Agency	STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S BERETANIA ST 5TH FL	HONOLULU	HI	968132407
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

Union Information

Union Name	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders

Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
Union Name	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
Union Name	UPW LOCAL 646
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96817
Contact Name	Dayton Nakanelua
Telephone	8088472631
Fax	8088481987
E-mail	martti@upwhawaii.org
Website	www.upwhawaii.org

Part 2: Award Information

Title: Bus Purch./Renewal, Fac. & Misc. Equip

FAIN	Award Status	Award Type	Date Created	Last Updated Date	From TEAM?
HI-34-0001-01	Active (Executed)	Grant	8/17/2015	8/17/2015	Yes

Award Executive Summary

Amendment No. 1

FUNDING SOURCE INFORMATION

FFY 2013 49 USC 5339 Bus & Bus Facility Allocations

This grant amendment represents a federal investment of \$431,667 (remaining balance) apportioned (Table 12).

This amendment makes the following changes:

11.12.04 Buy Replacement
Transfer \$400,000/\$480,000 to 11.62.02 Bus Signal and
Communications Systems

11.62.02 Bus Signal and Communications Systems
Add \$15,834/\$19,001 STIP ID MC36, Pg. 25

11.12.03 Buy 30 Ft Replacement Bus
Add \$123,833/\$154,792 STIP ID K11, Pg. 29

11.12.04 Buy
Add \$92,000/\$115,000 STIP ID KC11, Pg. 29

11.42.06 Shop Equipment Acquisition
Add \$40,000/\$50,000 STIP ID KC11, Pg. 29

11.44.03 Administration and Maintenance Facility
Rehab/Renovation
Add \$160,000/\$200,000 STIP ID KC11, Pg. 29

Total Amendment - Adds \$431,667 in FFY2013 Section 5339 Apportionments

Local Match total of \$107,126 from county general funds.

FUNDING SOURCE INFORMATION

FFY 2013 49 USC 5339 Bus & Bus Facility Allocations

This grant represents a federal investment of \$815,833 out of the \$1,247,500 apportioned (Table 12).

RECIPIENT CONTACT

The recipient contact is Ryan Fujii from the Hawaii State Department of Transportation at email address: ryan.fujii@hawaii.gov or 808-831-7984.

PROJECT INFORMATION

The funds have been allocated as follows: Kauai County = \$415,833 and Maui County = \$400,000.

The County of Kauai's allocation will be used to improve transit fleet technology and operating efficiencies through implementation of computer-aided dispatch, automatic vehicle location, and other technological components. A utility vehicle will be procured to support off-site bus stop and fleet maintenance. Shop and office equipment acquisitions will be made to improve/maintain current operations. Administrative and maintenance facility repairs will be done to maintain a state of good repair. See Budget section for detailed information concerning each activity.

The County of Maui's allocation will be used to purchase three (3) 8 passenger accessible and one (1) 25 passenger accessible vehicles to replace decommissioned buses. For further information, see the respective budget line items.

LABOR

All subrecipients (Kauai, Maui and Hawaii county) transit agency employees are represented by the Hawaii Government Employees Association (HGEA, AFSCME, Local 152, AFL-CIO. Also, some Kauai

and Hawaii county transit agency employees are represented by the United Public Workers, AFSCME, Local 646, AFL-CIO.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Subject to Pre-Award Authority

No, this application is not subject to Pre-Award Authority.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Award Point of Contact Information

FTA Point of Contact	Catherine Luu
Recipient Point of Contact	Ryan Fujii

Award Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$1,247,500
Local			\$291,085
State			\$0
Other Federal			\$0
Total Eligible Cost			\$1,538,585
Adjustment Amount			\$0
Gross Award Cost			\$1,538,585

Award Budget

Budget Item		FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
111-00	BUS - ROLLING STOCK	\$631,667.00	\$137,126.00	\$768,793.00	7

		BUY 11.12.03 REPLACEMENT 30- FT BUS	\$123,833.00	\$30,959.00	\$154,792.00	1
		BUY 11.12.04 REPLACEMENT	\$0.00	\$0.00	\$0.00	4
		BUY 11.12.04 REPLACEMENT	\$92,000.00	\$23,000.00	\$115,000.00	1
		PURCHASE 11.62.02 COMMUNICATIONS SYSTEM	\$415,834.00	\$83,167.00	\$499,001.00	1
114-00		BUS: SUPPORT EQUIP AND FACILITIES	\$615,833.00	\$153,959.00	\$769,792.00	0
		11.42.06 ACQUIRE - SHOP EQUIPMENT	\$86,000.00	\$21,500.00	\$107,500.00	0
		11.42.07 ACQUIRE - ADP HARDWARE	\$281,833.00	\$70,459.00	\$352,292.00	0
		11.42.11 ACQUIRE - SUPPORT VEHICLES	\$0.00	\$0.00	\$0.00	0
		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$8,000.00	\$2,000.00	\$10,000.00	0
		11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$240,000.00	\$60,000.00	\$300,000.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Sources of Federal Financial Assistance

UZA Code	Area Name	Account Class Code	FPC	Description	Amendment Amount	Cumulative Amount
150000	Hawaii	2013.25.34.31.2	00	Bus Form - States/Territories	\$431,667	\$1,247,500

Part 3: Project Information

Project Title: Bus Purch./Renewal, Fac. & Misc. Equip

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-34-0001-01	N/A	8/17/2015	2/28/2014	8/30/2017

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	1	Mark Takai
Hawaii	2	Tulsi Gabbard

Project Budget Activity Line Items

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUIRE - SHOP EQUIPMENT	0

Extended Budget Description

Amendment No. 1

STIP 3/30/15, Item KC11, pg. 29

Added \$40,000/\$50,000.

Kauai County. Added Parts washer, headlamp aligner, used forklift, and a bus washer.

STIP Rev. 16, 10/2/13, Item KC16, pg. 38

Purchase portable wheelchair lift and A/C service unit to support keeping the fleet in a state of good repair.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$86,000
Non-FTA Amount			\$21,500
Total Eligible Cost			\$107,500

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	1/4/2016	
Contract Award	1/4/2016	
Contract Complete	8/31/2017	

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUIRE - ADP HARDWARE	0

Extended Budget Description

9-25-14

Rev. 1

Transferred \$24,000/\$30,000 from ALI 11.42.11. Kauai county will focus efforts on improving fleet and dispatch system.

STIP Rev. 16, 10/2/13, Item KC16, pg. 38

Purchase and installation of a: 1) Computer-Aided Dispatch and Automatic Vehicle Locator system for the transit fleet to improve the quality and quantity of service provided and contribute to a safer, more effective transit operation. This system includes computer hardware in each vehicle; 2) Fleet management applications and computer hardware to support transit operations; and 3) the replacement and addition of desktop computers for transit office staff.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$281,833
Non-FTA Amount			\$70,459
Total Eligible Cost			\$352,292

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	3/1/2014	
Contract Award	11/15/2015	
Contract Complete	11/15/2016	

Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUIRE - SUPPORT VEHICLES	0

Extended Budget Description

9-25-14

Rev. 1

Based on the latest customer needs assessment, Kauai county has decided to focus efforts on improving a current fleet and dispatch system. Therefore, this ALI will no longer be needed and funds (\$24,000/\$30,000) transferred to ALI 11.42.07.

STIP Rev. 16, 10/2/13, Item KC16, pg. 38

Support vehicle to be purchased for utility to facilitate access to and maintenance of all public transit bus stops, existing administrative and base yard facility.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$0
Non-FTA Amount			\$0
Total Eligible Cost			\$0

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	8/1/2014	
Contract Award	10/1/2014	
Contract Complete	12/31/2014	

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUIRE - MISC SUPPORT EQUIPMENT	0

Extended Budget Description

STIP Rev. 16, 10/2/13, Item KC16, pg. 38

Transit operations support equipment to be purchased to enhance service and safe operations for the public and transit staff. Includes furniture and office equipment for transit staff.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$8,000
Non-FTA Amount			\$2,000
Total Eligible Cost			\$10,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	8/31/2015	
Contract Award	10/31/2015	
Contract Complete	12/15/2015	

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE - ADMIN/MAINT FACILITY	0

Extended Budget Description

Amendment No. 1

STIP 3/30/15, Item KC11, pg. 29

Added \$160,000/\$200,000.

Kauai County. Expand scope to include a parts storage mezzanine for the shop which will include shelving, a parts/equipment lift and office furniture.

STIP Rev. 16, 10/2/13, Item KC16, pg. 38

Admin and maintenance facility repairs and improvements to ensure existing structures are safe and well maintained. Work to include repair shop gutter replacement and repainting of the existing structure and repair shop safety improvements.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$240,000
Non-FTA Amount			\$60,000
Total Eligible Cost			\$300,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	7/31/2016	
Contract Award	9/30/2016	
Contract Complete	6/30/2017	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENT	4

Extended Budget Description

Amendment No. 1

This ALI will be discontinued. No longer needed. Maui county used local funds to purchase. Funds to be transferred to ALI 11.62.02.

STIP 10/2/13, Item No. MC36, pg 32.

Purchases 3-8 pax ADA vehicles and 1-25 pax ADA vehicle. Vehicles to be replaced are: 2001 Ford Aero-Lite 8-Passenger

VIN: 1FDWE35F01HB63577

285,588 miles

2001 Ford Aero-lite 8-Passenger
VIN: 1FDWE35F21HB21931
247,267 miles

2001 International Aero-Elite 25-Passenger
VIN: 1HVBEBAM71H360041
174,544 miles

2001 Ford Aero-lite 8-Passenger
VIN: 1FDWE35F01HA67884
318,540 miles

Fuel Type

Gasoline

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$0
Non-FTA Amount			\$0
Total Eligible Cost			\$0

Milestone Name	Est. Completion Date	Description
RFP/IFB OUT FOR BID	6/30/2015	
CONTRACT AWARDED	8/31/2015	
FIRST VEHICLE DELIVERED	8/31/2016	
ALL VEHICLES DELIVERED	8/31/2016	
CONTRACT COMPLETE	10/15/2016	

Budget Activity Line Item: 11.62.02 - PURCHASE COMMUNICATIONS SYSTEM

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.62.02	PURCHASE COMMUNICATIONS SYSTEM	PURCHASE COMMUNICATIONS SYSTEM	1

Extended Budget Description

Amendment No. 1

STIP 3/30/15, pg 25, item MC36.

New ALI for Maui county to purchase Automatic Voice Annunciation equipment for all fixed route buses. This is intended to provide alternative means of communication for the public. Funding is from two

sources: \$400,000/\$480,000 from ALI 11.12.04 and \$15,834 from FFY13 apportionment with local match of \$3,167.

Fuel Type

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$415,834
Non-FTA Amount			\$83,167
Total Eligible Cost			\$499,001

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	1/30/2016	
Contract Award	3/30/2016	
Contract Complete	6/30/2017	

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENT 30-FT BUS	1

Extended Budget Description

Amendment No. 1
STIP 3/30/15, Item KC11, pg. 29
Added \$123,833/\$154,72.
Kauai County. Replace 1

Fuel Type

Diesel (Particulate Trap);

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$123,833
Non-FTA Amount			\$30,959
Total Eligible Cost			\$154,792

Milestone Name	Est. Completion Date	Description
RFP/IFB OUT FOR BID	10/30/2015	

CONTRACT AWARDED	11/30/2015	
FIRST VEHICLE DELIVERED	11/1/2016	
ALL VEHICLES DELIVERED	11/1/2016	
CONTRACT COMPLETE	11/30/2016	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENT	1

Extended Budget Description

Amendment No. 1
STIP 3/30/15, Item KC11, pg. 29
Added \$92,000/\$115,000.
Kauai County. Replace 1

Fuel Type

Diesel (Particulate Trap);

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$92,000
Non-FTA Amount			\$23,000
Total Eligible Cost			\$115,000

Milestone Name	Est. Completion Date	Description
RFP/IFB OUT FOR BID	10/30/2015	
CONTRACT AWARDED	11/30/2015	
FIRST VEHICLE DELIVERED	11/1/2016	
ALL VEHICLES DELIVERED	11/1/2016	
CONTRACT COMPLETE	11/30/2016	

Project Environmental Findings

Finding: Class 2C**Class Level Description****Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Finding: Class 2C**Class Level Description****Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	0	\$86,000.00	\$107,500.00

Finding: Class 2C**Class Level Description****Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	0	\$281,833.00	\$352,292.00

Finding: Class 2C

Class Level Description**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	0	\$0.00	\$0.00

Finding: Class 2C**Class Level Description****Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$8,000.00	\$10,000.00

Finding: Class 2C**Class Level Description****Categorical Exclusion Description**

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	0	\$240,000.00	\$300,000.00

FACILITIES (114-00)

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Leslie Rogers

Comment Type FTA Budget Revision

Date 9/14/2015

Comment

Application Review Comments

Comment By Marisa L Appleton

Comment Type General Application

Date 8/20/2015

Project Title Bus Purch./Renewal, Fac. & Misc. Equip

Project Number HI-34-0001-01

Comment Transit Vehicle Manufacturers (TVM) must have an approved FTA DBE Program and Goal prior to bidding on contract. For a current listing, see http://www.fta.dot.gov/documents/TVM_posting4.7.09.pdf

Comment By Marisa L Appleton

Comment Type General Application

Date 8/20/2015

Project Title Bus Purch./Renewal, Fac. & Misc. Equip

Project Number HI-34-0001-01

Comment New or remanufactured buses, vans, rail cars, and other modes of public transit must meet ADA vehicle standards (found at: <http://www.access-board.gov/transit/>). US DOT regulations implementing the transportation provisions of the ADA are codified at 49 CFR Parts 27, 37 and 38 and can be found on the Office of Civil Rights ADA website (www.fta.dot.gov/ada)

State of Hawaii Department of Transportation must comply with all applicable Federal

laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Specifically, State of Hawaii Department of Transportation must comply with the ADA Standards for Transportation Facilities when renovating or rehabilitating administrative and maintenance facilities. The following is a non-exhaustive list of ADA standards that State of Hawaii Department of Transportation must comply with when renovating or rehabilitating administrative and maintenance facilities:

- As required under DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1), State of Hawaii Department of Transportation must ensure that altered or added portions of the facility must be made accessible.
- As required by DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3, State of Hawaii Department of Transportation must ensure that the path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1), if the path of travel cannot be made accessible, State of Hawaii Department of Transportation must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b), if the path of travel cannot be made accessible, State of Hawaii Department of Transportation must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-21, October 1, 2014)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official's electronic signature is entered for this Grant Agreement, FTA has Awarded Federal assistance in support of the Project described below. Upon Execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, and enters into this Grant Agreement with FTA. The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(21), October 1, 2014, <http://www.fta.dot.gov/documents/21-Master.pdf>
- (2) The Certifications and Assurances applicable to the Project that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal grant as follows:

Recipient Information

Recipient Name: STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION

Award Information

Award Number: HI-34-0001-01

Award Name: Bus Purch./Renewal, Fac. & Misc. Equip

Award Executive Summary: Amendment No. 1

FUNDING SOURCE INFORMATION

FFY 2013 49 USC 5339 Bus & Bus Facility Allocations

This grant amendment represents a federal investment of \$431,667 (remaining balance) apportioned (Table 12).

This amendment makes the following changes:

11.12.04 Buy Replacement

Transfer \$400,000/\$480,000 to 11.62.02 Bus Signal and Communications Systems

11.62.02 Bus Signal and Communications Systems

Add \$15,834/\$19,001 STIP ID MC36, Pg. 25

11.12.03 Buy 30 Ft Replacement Bus

Add \$123,833/\$154,792 STIP ID K11, Pg. 29

11.12.04 Buy

Add \$92,000/\$115,000 STIP ID KC11, Pg. 29

11.42.06 Shop Equipment Acquisition

Add \$40,000/\$50,000 STIP ID KC11, Pg. 29

11.44.03 Administration and Maintenance Facility

Rehab/Renovation

Add \$160,000/\$200,000 STIP ID KC11, Pg. 29

Total Amendment - Adds \$431,667 in FFY2013 Section 5339 Apportionments

Local Match total of \$107,126 from county general funds.

FUNDING SOURCE INFORMATION

FFY 2013 49 USC 5339 Bus & Bus Facility Allocations

This grant represents a federal investment of \$815,833 out of the \$1,247,500 apportioned (Table 12).

RECIPIENT CONTACT

The recipient contact is Ryan Fujii from the Hawaii State Department of Transportation at email address: ryan.fujii@hawaii.gov or 808-831-7984.

PROJECT INFORMATION

The funds have been allocated as follows: Kauai County = \$415,833 and Maui County = \$400,000.

The County of Kauai's allocation will be used to improve transit fleet technology and operating efficiencies through implementation of computer-aided dispatch, automatic vehicle location, and other technological components. A utility vehicle will be procured to support off-site bus stop and fleet maintenance. Shop and office equipment acquisitions will be made to improve/maintain current operations. Administrative and maintenance facility repairs will be done to maintain a state of good repair. See Budget section for detailed information concerning each activity.

The County of Maui's allocation will be used to purchase three (3) 8 passenger accessible and one (1) 25 passenger accessible vehicles to replace decommissioned buses. For further information, see the respective budget line items.

LABOR

All subrecipients (Kauai, Maui and Hawaii county) transit agency employees are represented by the Hawaii Government Employees Association (HGEA, AFSCME, Local 152, AFL-CIO. Also, some Kauai and Hawaii county transit agency employees are represented by the United Public Workers, AFSCME, Local 646, AFL-CIO.

Total Award Eligible Cost: \$1,538,585.00

Award Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$1,247,500
Local			\$291,085
State			\$0
Other Federal			\$0
Total Eligible Cost			\$1,538,585
Adjustment Amount			\$0
Gross Award Cost			\$1,538,585

Maximum Percentage(s) of FTA Participation

Percentages of Federal participation are based on amounts included in the Approved Project Budget, modified as set forth in the text following the Project Description.

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Project Certification Date: N/A

Special Conditions

Comment By Jenny Barket

Comment Type	Special Conditions
Date	9/12/2015
Project Title	Bus Purch./Renewal, Fac. & Misc. Equip
Project Number	HI-34-0001-01
	Special Condition: DOL Certification
Comment	This award of Federal financial assistance is subject to the terms and conditions set forth in the U.S. Department of Labor's certification letter dated September 9, 2015, to the Federal Transit Administration with respect to this numbered grant, including any attachments to the letter, which are fully incorporated herein by reference.

Awarded By:
Leslie Rogers
Regional Administrator
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
9/14/2015 12:38 PM HST

EXECUTION OF GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

By executing this Grant Agreement, I am simultaneously executing any Supplemental Agreement that may be required to effectuate this Grant Agreement.

Executed By:
Ford Fuchigami
Programming Section Manager
STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION
9/14/2015 2:42 PM HST