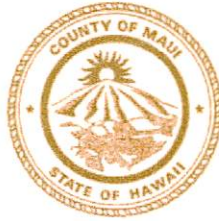


MICHAEL P. VICTORINO
Mayor

MARC I. TAKAMORI
Director

MICHAEL B. DU PONT
Deputy Director



DEPARTMENT OF TRANSPORTATION
COUNTY OF MAUI
200 SOUTH HIGH STREET
WAILUKU, MAUI, HAWAII 96793
TELEPHONE: (808) 270-7511
FAX: (808) 270-7505

October 6, 2020

Ms. Michele Yoshimura *my*
Budget Director, County of Maui
200 South High Street
Wailuku, HI 96793

Honorable Michael P. Victorino
Mayor, County of Maui
200 South High Street
Wailuku, Hawaii 96793

APPROVED FOR TRANSMITTAL

Michael P. Victorino 10/9/20
Mayor Date

For Transmittal to:

Honorable Alice L. Lee, Chair
and Members of Maui County Council
200 South High Street
Wailuku, Hawaii 96793

Dear Chair Lee:

**SUBJECT: Grant Revenue; Federal Transit Administration (FTA)
49 U.S.C Section 5311 Non Urbanized Area Formula Program**

In accordance with Ordinance 5099, Bill 64 (2020), Draft 1, Fiscal Year 2021 Budget, we are hereby transmitting to you a copy of the notice of grant award from the State of Hawaii, Department of Transportation for the program listed above in the amounts of \$492,600 and \$534,000. These funds will be applied as per the FTA approved grant applications HI-2017-011 and HI-2018-011.

Should you have any questions, please feel free to contact me at ext. 7511.

Sincerely,

A handwritten signature in black ink, appearing to be "MT", is written over a horizontal line.

Marc Takamori, Director

Attachments

RECEIVED
2020 OCT -9 PM 3:47
OFFICE OF THE
COUNTY CLERK

COUNTY COMMUNICATION NO. 20-514

REQUEST FOR GRANT INDEX CODE

County of Maui's Fiscal Year: FY 2021

GRANT/PROJECT TITLE: FFY 2018 49 U.S.C. Section 5311: Non Urbanized Area Formula Program 116913

Grant No./Identification No. HI-2018-0011

Grant/Performance Period: July 1, 2020 - October 1, 2021

Award Amount: \$ 534,000.00

Department's Primary Contact: Diane Yogi

Primary Contact Phone #: 463-3819

<u>Type of Grant:</u>	<u>(Check one)</u>	<u>CFDA # (1)</u>	<u>Agency Name</u>
1 Federal	<input type="checkbox"/>		
2 Fed Pass Thru' State (2)	<input checked="" type="checkbox"/>	20.509	County of Maui DOT
3 State	<input type="checkbox"/>	n/a	
4 Private	<input type="checkbox"/>	n/a	
5 Other, please specify	<input type="checkbox"/>	n/a	

Submit the following additional documents:

- 1) Grant Agreement, or Letter of Intent to Award Funds
- 2) Respective page of the Council Adopted Budget (for original appropriation)
OR, copy of the Ordinance, if this grant is approved via budget amendment

For Finance/GL Section:

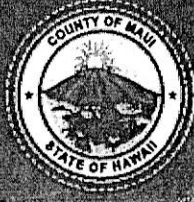
Index code: 116913

Sub-Object to be used for the receipt of revenue: 3320

(FOR reimbursement of grant expenditures)

Note: This form will be returned to the Department as soon as index code is created.

- (1) CFDA = Catalog of Federal Domestic Assistance (can be found on the grant application or may be obtained from the grantor (Federal Agency))
- (2) Federal Pass Through State = The grant was awarded to the State. After receiving the grant, the State then passes the federal money to the county. In this situation, the County becomes a subrecipient.

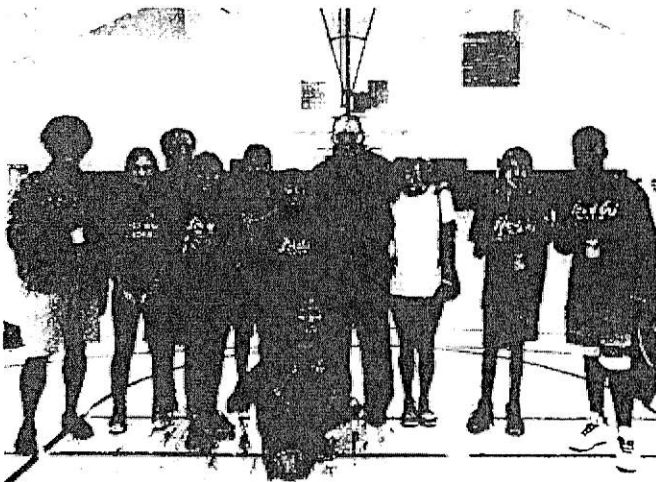
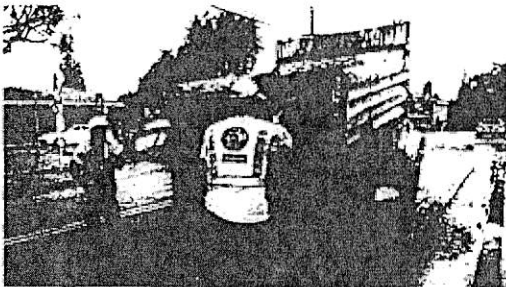
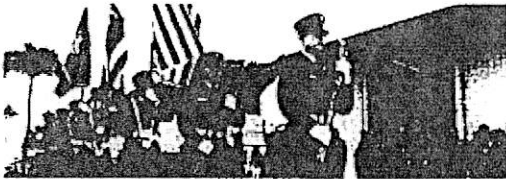


TRANSPORTATION

FY 2021 COUNCIL

MICHAEL P. VICTORINO

*Mayor,
County of Maui*



ORDINANCE NO. 5099

BILL NO. 64 (2020)
Draft 1

A BILL FOR AN ORDINANCE RELATING TO THE
OPERATING BUDGET FOR THE COUNTY OF MAUI
FOR THE FISCAL YEAR JULY 1, 2020 TO JUNE 30, 2021

BE IT ORDAINED BY THE PEOPLE OF THE COUNTY OF MAUI:

SECTION 1. This ordinance may be referred to as the "Fiscal Year 2021 Budget."

SECTION 2. The several amounts listed below are declared to be estimated revenues of the County of Maui for the Fiscal Year July 1, 2020 through June 30, 2021, and are appropriated to the functions, programs, funds, departments, and agencies for the purposes set forth in Sections 3 and 4.

ESTIMATED REVENUES

FROM TAXES, FEES AND ASSESSMENTS:

Real Property Taxes	376,419,651
Circuit Breaker Adjustment	(494,410)
Charges for Current Services	138,466,202
Transient Accommodations Tax	0
Public Service Company Tax	7,000,000
Licenses/Permits/Others	40,193,252
Fuel and Franchise Taxes	21,200,000
Special Assessments	4,458,329
Other Intergovernmental	14,270,000

FROM OTHER SOURCES:

Interfund Transfers	43,001,438
Bond/Lapsed Bond	81,883,000
Carryover/Savings:	
General Fund	50,427,508
Sewer Fund	3,761,039
Highway Fund	10,038,950
Solid Waste Management Fund	169,318
Environmental Protection and Sustainability Fund	6,113,732
Liquor Fund	727,056
Bikeway Fund	122,241
Water Fund	24,856,507

TOTAL ESTIMATED REVENUES

822,613,813

a. Federal Highway Administration, Federal-Aid and Other Transportation Grants	17,553,000
b. State of Hawaii Department of Transportation – Safe Routes to School Program Special Fund	175,000
12. Department of Transportation	
a. Federal Transit Administration (FTA) and Other Transportation Program Grants for Maui Metropolitan Planning Organization (MPO) (1) Disbursement for salaries and premium pay is limited to 2.0 LTA equivalent personnel.	625,000
b. Federal Transit Administration (FTA) Section 5311 Rural Transit Assistance Program (RTAP)	20,000
c. Federal Transit Administration (FTA) Section Rural/5339 Formula Funds Program	500,000
d. Federal Transit Administration (FTA) Section Small Urban/5339 Formula Funds Program	700,000
e. Federal Transit Administration (FTA) Section 5311 Non-Urbanized Area Formula Program	✓ 1,200,000
f. Transportation Program Grants	4,500,000
g. Urbanized Area Formula Program 5307	2,500,000
13. Department of Water Supply	
a. State of Hawaii, Department of Land and Natural Resources	1,000,000
14. Additional Revenues Received Pursuant to the Foregoing Grants (1) A quarterly report must be submitted to the Council on excess grant revenues received by the County.	500,000

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Award

Federal Award Identification Number (FAIN)	HI-2018-011-00 ✓
Temporary Application Number	1623-2018-1
Award Name	5311 - Kauai, Maui & Hawaii Counties - 2016\$ & 2017\$
Award Status	Active (Executed)
Award Budget Number	1

Period of Performance Start Date	9/17/2018	
Original Period of Performance End Date	12/31/2020	
Current Period of Performance End Date	10/1/2021 ✓	Revision #: 1

Part 1: Recipient Information

Name: Transportation, Hawaii Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S Beretania St FL 5	Honolulu	HI	96813
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

Union Information

Union Name	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
Union Name	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
Union Name	UPW LOCAL 646

Address 1	1426 North School Street
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96817
Contact Name	Dayton Nakanelua
Telephone	8088472631
Fax	8088481987
E-mail	martti@upwhawaii.org
Website	www.upwhawaii.org

Part 2: Award Information

Title: 5311 - Kauai, Maui & Hawaii Counties - 2016\$ & 2017\$

FAIN	Award Status	Award Type	Date Created	Last Updated Date	From TEAM?
HI-2018-011-00	Active (Executed)	Grant	8/14/2018	8/14/2018	No

Award Executive Summary

6-25-20

Revision 1

Changes Period of Performance date from 12/31/20 to 10/01/21. Maui county requires additional time to drawdown funds because they were using older funding.

In this application:

FFY 2016 funds = \$946,136

FFY 2017 funds = \$2,779,419

Federal TOTAL = \$3,725,555

Detailed Project Description:

A. County of Kauai

30.09.01 Operating Assistance

Federal \$1,068,000 (FFY16 \$26,000+FFY17 \$1,042,000)/ Local \$1,068,000 / Total \$2,136,000 .

Source of Local Funds: Kauai County Budget

B. County of Maui

30.09.01 Operating Assistance

Federal \$534,000 (FFY16 \$13,000+FFY17 \$521,000)/ Local \$7,967,565 / Total \$8,501,565.

Source of Local Funds: Maui County Budget (Maui Bus Fixed Route Service Contract)

C. County of Hawaii

30.09.01 Operating Assistance

Federal \$1,948,000 (FFY16 \$906,000 +FFY17 \$1,042,000)/ Local \$1,948,000/ Total \$3,896,000.

Source of Local Funds: Hawaii County Budget

43.50.01 RTAP:

Federal \$98,190 /Local \$0 / Total \$98,190.

A. FFY 2016 (Federal) \$971 (Kauai, Maui, and Hawaii).

B. FFY 2017 (Federal) \$97,219 (Kauai, Maui, and Hawaii).

Note: RTAP Funds are pooled and distributed to any eligible recipient and purpose through the use of a scholarship application available online. HDOT uses part of these funds for staff training and support of common training sessions for its sub-recipients. The sub-recipient shall notify and obtain a second-approval from the State before the start of any RTAP Program activity. The sub-recipient shall provide detailed information of the specific RTAP Program activity and its consistency with the RTAP Program.

11.80.00 State Administration - State of Hawaii - Funds to be used for staff and office costs to provide program oversight to its sub-recipients.

Federal \$77,365 / State \$0/ Total \$77,365

A. FFY16 \$165

B. FFY17 \$77,200

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
	darin.allan@dot.gov	Supervisory Transportation Program Specialist		
Tad	Nakayama	Programming Section Manager	tad.t.nakayama@hawaii.gov	808-831-7987

Award Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,725,555
Local			\$10,983,565
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,709,120

Award Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2018-011-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1
HI-2018-011-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1
HI-2018-011-01-00	435-00 (435-A3) RURAL TRANSIT ASST PROGRAM	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00	43.50.01 TRAINING	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1
HI-2018-011-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Sources of Federal Financial Assistance

PO Number	Project Number	Scope Name	Scope Number	Scope Suffix	UZA Code	Area Name	Account Class Code	FPC	Description	Amendment Amount	Cumulative Amount
HI-18-X035	HI-2018-011-01-00	OPERATING ASSISTANCE	300-00 (300)	A1	150000	Hawaii	2016.25.18.81.2	09	Non-urbanized Area Formula	\$945,165	\$945,165
HI-18-X035	HI-2018-011-01-00	OPERATING ASSISTANCE	300-00 (300)	A1	150000	Hawaii	2017.25.18.81.2	06	Non-urbanized Area Formula	\$2,604,835	\$2,604,835
HI-18-X035	HI-2018-011-01-00	RURAL TRANSIT ASST PROGRAM	435-00 (435)	A3	150000	Hawaii	2016.25.18.R7.2	07	RTAP (state	\$971	\$971

HI-18-X035	HI-2018-011-01-00	RURAL TRANSIT ASST PROGRAM	435-00 (435)	A3	150000	Hawaii	2017.25.18.R7.2	07	RTAP (state	\$97,219	\$97,219
HI-18-X035	HI-2018-011-01-00	STATE / PROGRAMS ADMINISTRATION	610-00 (610)	A2	150000	Hawaii	2017.25.18.81.2	06	Non-urbanized Area Formula	\$77,365	\$77,365

Part 3: Project Information

Project Title: 5311 Kauai, Maui and Hawaii 2016\$ & 2017\$

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2018-011-01-00	1623-2018-1-P1	8/16/2018	9/28/2018	12/31/2020

Project Description

Detailed Project Description

A. County of Kauai

Funds to be used to operate the County of Kauai transit system. Estimated number of trips 812,820.

These funds will be utilized in the operation of the Kauai Bus public transit service, which consists of 6 bus routes and comparable ADA paratransit service. All routes operate 7 days a week including all holidays.

B. County of Maui

Funds to be used to operate the County of Maui transit system. Estimated number of trips is 2,000,000.

These funds will be utilized in the operation of the Maui Bus public transit service, which consists of 14 bus routes funded by the County of Maui. All routes operate 7 days a week including holidays.

C. County of Hawaii

Funds to be used to operate the County of Hawaii transit system. Estimated number of trips 900,000.

These funds will be utilized for the operation of the County of Hawaii Mass Transit public transit service, which comprises of 23 bus routes. Most routes operate 6 days a week, while all of our commuter South Kohala Resorts routes operate daily including holidays.

Project Benefits

Detailed Project Benefits:

A. County of Kauai

This project also supports the transportation needs of community members that do not have access to other transportation options (youth, elderly, low socioeconomic range, etc.) This project supports our community by helping to alleviate traffic. All routes enhances the communities

access to health care, employment, education, shopping and recreation, etc.

B. County of Maui

This project supports our community by providing transportation options while helping to alleviate traffic by giving an alternative to additional vehicles on the road. All routes enhances the communities access to health care, employment, education, shopping and recreation, etc.

C. County of Hawaii

This project supports our community by providing transportation options while helping to reduce carbon footprint and traffic. Our ridership includes seniors, disabled, students, and workers who requires access to health care, shopping, education, employment, and recreation, etc.

Additional Information

None provided.

Location Description

A. County of Kauai

These routes provide service in and between various Central, North, South, East and West communities of the island of Kauai.

B. County of Maui

These routes provide service in and between various Central, North, South, East and West communities of the island of Maui.

C. County of Hawaii

These routes provide service in and between various rural and small urban communities of the island of Hawaii.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: 6/4/2018

Description: HAWAII DEPARTMENT OF TRANSPORTATION Revision Effective Date: June 5, 2018 FFY 2015 THRU FFY 2018 (FFY 2019-2020 Informative Only) Revision #16 PROCESSED (Administrative Modification) STATEWIDE TRANSPORTATION IMPROVEMENT PROGRAM. Related Page Numbers: Page 20. HC9 Rural Transit: Hawaii County Page 26. MC37 Rural Transit: Maui County Page 31. KC12 Rural Transit: Kauai County

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,725,555
Local			\$10,983,565
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,709,120

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
----------------	-------------	------------	----------------	-----------------------	----------

HI-2018-011-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1
HI-2018-011-01-00		30.09.01 UP TO 50% FEDERAL SHARE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1
HI-2018-011-01-00	435-00 (435-A3)	RURAL TRANSIT ASST PROGRAM	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00		43.50.01 TRAINING	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1
HI-2018-011-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Extended Budget Description

Total Combined RTAP (Counties of Kauai, Maui, and Hawaii)

Federal \$98,190

A. FFY2016: \$971 (Kauai, Maui, and Hawaii).

B. FFY2017: \$97,219 (Kauai, Maui, and Hawaii).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$98,190
Local			\$0

Local/In-Kind	\$0
State	\$0
State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$98,190

Milestone Name	Est. Completion Date	Description
Start Date	9/28/2018	Approx Start Date
End Date	12/31/2020	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Extended Budget Description

11.80.00 State Admin FFY16 & FFY17: \$77,365

FFY16: \$165

FFY17: \$77,200

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$77,365
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$77,365

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2018	
End Date	12/31/2020	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Extended Budget Description

30.09.01 Operating Assistance

Total Funding Combined (all counties):

Federal: \$3,550,000/ Local \$10,983,565 / Total \$14,533,565

A. County of Kauai

Federal \$1,068,000 (FFY16 \$26,000+FFY17 \$1,042,000)/ Local \$1,068,000 / Total \$2,136,000.

B. County of Maui ✓

Federal \$534,000 (FFY16 \$13,000+FFY17 \$521,000)/ Local \$7,967,565 / Total \$8,501,565.

C.

Federal \$1,948,000 (FFY16 \$906,000 +FFY17 \$1,042,000)/ Local \$1,948,000/ Total \$3,896,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,550,000

Local	\$10,983,565
Local/In-Kind	\$0
State	\$0
State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$14,533,565

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	Start dates by County: Kauai: October 1, 2018 Maui: July 1 2018 Hawaii: October 1, 2018
End Date	12/31/2020	End dates by County: Kauai: December 31, 2020 Maui: June 30, 2020 Hawaii: December 31, 2020

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
8/20/2018	Class IIc CE Approved

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By	Tad Nakayama
Comment Type	Recipient Budget Revision
Date	6/25/2020
Comment	

Comment By	Darin Allan
Comment Type	FTA Budget Revision
Date	7/1/2020
Comment	

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-24, October 1, 2017)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Hawaii Department Of

Recipient ID: 1623

DUNS No: 824671465

Award Information

Federal Award Identification Number: HI-2018-011-00

Award Name: 5311 - Kauai, Maui & Hawaii Counties - 2016\$ & 2017\$

Award Start Date: 9/17/2018

Original Award End Date: 12/31/2020

Current Award End Date: 10/1/2021

Award Executive Summary: 6-25-20

Revision 1

Changes Period of Performance date from 12/31/20 to 10/01/21. Maui county requires additional time to drawdown funds because they were using older funding.

In this application:

FFY 2016 funds = \$946,136

FFY 2017 funds = \$2,779,419

Federal TOTAL = \$3,725,555

Detailed Project Description:

A. County of Kauai

30.09.01 Operating Assistance

Federal \$1,068,000 (FFY16 \$26,000+FFY17 \$1,042,000)/ Local \$1,068,000 / Total \$2,136,000 .

Source of Local Funds: Kauai County Budget

B. County of Maui ✓

30.09.01 Operating Assistance

Federal \$534,000 (FFY16 \$13,000+FFY17 \$521,000)/ Local \$7,967,565 / Total \$8,501,565.

Source of Local Funds: Maui County Budget (Maui Bus Fixed Route Service Contract)

C. County of Hawaii

30.09.01 Operating Assistance

Federal \$1,948,000 (FFY16 \$906,000 +FFY17 \$1,042,000)/ Local \$1,948,000/ Total \$3,896,000.

Source of Local Funds: Hawaii County Budget

43.50.01 RTAP:

Federal \$98,190 /Local \$0 / Total \$98,190.

A. FFY 2016 (Federal) \$971 (Kauai, Maui, and Hawaii).

B. FFY 2017 (Federal) \$97,219 (Kauai, Maui, and Hawaii).

Note: RTAP Funds are pooled and distributed to any eligible recipient and purpose through the use of a scholarship application available online. HDOT uses part of these funds for staff training and support of common training sessions for its sub-recipients. The sub-recipient shall notify and obtain a second-approval from the State before the start of any RTAP Program activity. The sub-recipient shall provide detailed information of the specific RTAP Program activity and its consistency with the RTAP Program.

11.80.00 State Administration - State of Hawaii - Funds to be used for staff and office costs to provide program oversight to its sub-recipients.

Federal \$77,365 / State \$0/ Total \$77,365

- A. FFY16 \$165
B. FFY17 \$77,200

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Award Budget

Total Award Budget: \$14,709,120.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$0.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$3,725,555.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$10,983,565.00

Award Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,725,555
Local			\$10,983,565
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$14,709,120

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:
Edward Carranza
Supervisory Senior Operations Manager
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info: edward.carranza@dot.gov
Award Date: 9/17/2018

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Ryan Fujii

Programming Section Head

Transportation, Hawaii Department Of

9/17/2018

REQUEST FOR GRANT INDEX CODE

County of Maui's Fiscal Year: FY 2021

GRANT/PROJECT TITLE: FFY 21-22 49 U.S.C. Section 5311 Non Urbanized Area Formula Program 116911

Grant No./Identification No. HI-2017-0011

Grant/Performance Period: July 1, 2020 - July 1, 2021

Award Amount: \$ 492,600.00

Department's Primary Contact: Diane Yogi

Primary Contact Phone #: 463-3819

<u>Type of Grant:</u>	<u>(Check one)</u>	<u>CFDA # (1)</u>	<u>Agency Name</u>
1 Federal	<input type="checkbox"/>		
2 Fed Pass Thru' State (2)	<input checked="" type="checkbox"/>	20.509	County of Maui DOT
3 State	<input type="checkbox"/>	n/a	
4 Private	<input type="checkbox"/>	n/a	
5 Other, please specify	<input type="checkbox"/>	n/a	

Submit the following additional documents:

- 1) Grant Agreement, or Letter of Intent to Award Funds
- 2) Respective page of the Council Adopted Budget (for original appropriation)
OR, copy of the Ordinance, if this grant is approved via budget amendment

For Finance/GL Section:

Index code: 116911

Sub-Object to be used for the receipt of revenue: 3320

(FOR reimbursement of grant expenditures)

Note: This form will be returned to the Department as soon as index code is created.

(1) CFDA = Catalog of Federal Domestic Assistance (can be found on the grant application or may be obtained from the grantor (Federal Agency))

(2) Federal Pass Through State = The grant was awarded to the State. After receiving the grant, the State then passes the federal money to the county. In this situation, the County becomes a subrecipient.

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Award

Federal Award Identification Number (FAIN)	HI 2017-004-00 ✓
Temporary Application Number	1623-2017-4
Award Name	5311 Formula Grants For Rural Areas Kauai, Maui and Hawaii
Award Status	Active (Executed)
Award Budget Number	1

Period of Performance Start Date	9/15/2017	
Original Period of Performance End Date	6/28/2019	
Current Period of Performance End Date	7/1/2021 ✓	Revision #: 1

Part 1: Recipient Information

Name: Transportation, Hawaii Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S Beretania St FL 5	Honolulu	HI	96813
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813

Mailing Address

869 PUNCHBOWL STREET

HONOLULU

HI

96813

Union Information

Union Name	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
Union Name	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
Union Name	UPW LOCAL 646

Address 1	1426 North School Street
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96817
Contact Name	Dayton Nakanelua
Telephone	8088472631
Fax	8088481987
E-mail	martti@upwhawaii.org
Website	www.upwhawaii.org

Part 2: Award Information

Title: 5311 Formula Grants For Rural Areas Kauai, Maui and Hawaii

FAIN	Award Status	Award Type	Date Created	Last Updated Date	From TEAM?
HI-2017-004-00	Active (Executed)	Grant	8/24/2017	8/24/2017	No

Award Executive Summary

Executive Summary

This application includes one year of apportionments for Section 5311.

FFY 2015, 49 USC 5311 - NONURBANIZED AREA FORMULA PROGRAM

Section 5311 - \$2,538,189

Section 5311(b)(3) - \$ 95,331

Total - \$2,633,520

A. County of Kauai

30.09 01 Operating Assistance

Funding breakdown (50% Fed, 50% local match and project total):

Federal: \$985,200 County: \$985,200 Total \$1,970,400

Source of Local funds: County of Kauai Budget

General Explanation of project(s): Funds to be used to operate the transit system, including operating expenses for transit vehicles and equipment and transit facility maintenance and repairs.

End Date: October 31, 2018

This application DOES NOT include funds for research and Development Activities.

NO Indirect costs will be applied to this application.

B. County of Maui

30 09.01 Operating Assistance

Funding breakdown (50% Fed, 50% local match and project total)

Federal: \$492,600 County: \$7,552.125 Total: \$8,044,725

Source of Local funds: Maui Bus Fixed Route Service contract- funded with County highway funds

General Explanation of project(s):

Funds will be used to operate the County of Maui's Maui Bus Fixed Route System for the County FY 2018. The estimated number of passenger trips is 2,100,000.

End Date: August 31, 2018

This application DOES NOT include funds for research and Development Activities.

NO Indirect costs will be applied to this application.

C. County of Hawaii

30 09.00 Operating Assistance 50%/50%

Federal: \$942,200 County: \$942,200 Total: \$1,884,400

11 79.00 Project Administration 80%/20%

Federal: \$43,000 County: \$10,750 Total: \$53,750

Source of Local funds: County of Hawaii Highway Funds

General Explanation of project(s): Project will cover operational, administrative costs.

End Date: June 28, 2019

This application DOES NOT include funds for research and Development Activities.

NO Indirect costs will be applied to this application.

43.50.01 RTAP - Funds to be used for management training and training for service providers on contract such as mechanics, drivers, and transit workshops and peer exchange.

Federal: \$95,331 State: \$0.00 Total: \$95,331

11.80.00 State of Hawaii - State Administration - Funds to be used for staff and office costs to provide oversight to subrecipients.

Federal: \$75,189 State: \$0.00 Total: \$75,189

RTAP funds are pooled and distributed to any eligible recipient and purpose through the use of a scholarship application available online. HDOT uses part of these funds for staff training and support of common training sessions for subrecipients.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
	darin.allan@dot.gov	Supervisory Transportation Program Special st		
Tad	Nakayama	Programming Section Manager	tad t nakayama@hawaii.gov	808-831-7987

Award Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$2,633,520
Local			\$9,490,275
Local/In-Kind			\$0
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$12,123,795

Award Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2017-004-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$2,420,000.00	\$9,479,525.00	\$11,899,525.00	1
HI-2017-004-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,420,000.00	\$9,479,525.00	\$11,899,525.00	1
HI-2017-004-02-00	620-00 (620-A4) PROJECT ADMINISTRATION	\$43,000.00	\$10,750.00	\$53,750.00	1
HI-2017-004-02-00	11.79.00 PROJECT ADMINISTRATION	\$43,000.00	\$10,750.00	\$53,750.00	1
HI-2017-004-03-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$75,189.00	\$0.00	\$75,189.00	1
HI-2017-004-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$75,189.00	\$0.00	\$75,189.00	1
HI-2017-004-04-00	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$95,331.00	\$0.00	\$95,331.00	0
HI-2017-004-04-00	43.50.01 TRAINING	\$95,331.00	\$0.00	\$95,331.00	0

Discretionary Allocations

This application does not contain discretionary allocations

Sources of Federal Financial Assistance

PO Number	Project Number	Scope Name	Scope Number	Scope Suffix	UZA Code	Area Name	Account Class Code	FPC	Description	Amendment Amount	Cumulative Amount
HI-18-X034	HI-2017-004-01-00	OPERATING ASSISTANCE	300-00 (300)	A1	150000	Hawaii	2015.25.18.81.2	09	Non-urbanized Area Formula	\$880,000	\$880,000
HI-18-X034	HI-2017-004-01-00	OPERATING ASSISTANCE	300-00 (300)	A1	150000	Hawaii	2016.25.18.81.2	09	Non-urbanized Area Formula	\$1,540,000	\$1,540,000
HI-18-X034	HI-2017-004-02-00	PROJECT ADMINISTRATION	620-00 (620)	A4	150000	Hawaii	2016.25.18.81.2	09	Non-urbanized Area Formula	\$43,000	\$43,000
HI-18-X034	HI-2017-004-03-00	STATE / PROGRAMS ADMINISTRATION	610-00 (610)	A3	150000	Hawaii	2016.25.18.81.2	06	Non-urbanized Area Formula	\$75,189	\$75,189
HI-18-X034	HI-2017-004-04-00	RURAL TRANSIT ASST PROGRAM	435-00 (435)	A2	150000	Hawaii	2016.25.18.R7.2	07	RTAP (state	\$95,331	\$95,331

Part 3: Project Information

Project Title: Operating Assistance
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2017-004-01-00	1623-2017-4-P1	8/25/2017	10/1/2018	6/28/2019

Project Description

This project funds the cost to operate the transit system, including operating expenses for transit vehicles and equipment and transit facility maintenance and repairs.

Project Benefits

Maintain reliable transportation services within Hawaii's rural counties

Additional Information

None provided.

Location Description

Throughout the state of Hawaii's rural counties (Hawaii, Kauai and Maui)

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information**STIP/TIP**

Date: 8/15/2017

Description: Hawaii Department of Transportation STIP pages for FTA funds

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$2,420,000
Local			\$9,479,525
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,899,525

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2017-004-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$2,420,000.00	\$9,479,525.00	\$11,899,525.00	1
HI-2017-004-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,420,000.00	\$9,479,525.00	\$11,899,525.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

30 09 01 Operating Assistance

Funding breakdown (50% Fed, 50% local match and project total):
Federal: \$2,420,000 County: \$9,479,525 Total: \$11,899,525

A. County of Kauai

Funding breakdown (50% Fed, 50% local match and project total):
Federal: \$985,200 County: \$985,200 Total: \$1,970,400

B. County of Maui

Funding breakdown (50% Fed, 50% local match and project total):
Federal: \$492,600 County: \$7,552,125 Total: \$8,044,725

C. County of Hawaii

Funding breakdown (50% Fed, 50% local match and project total):
Federal: \$942,200 County: \$942,200 Total: \$1,884,400

Will 3rd Party contractors be used to fulfill this activity line item?

No. 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$2,420,000
Local			\$9,479,525
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,899,525

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	6/28/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Project Title: Project Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2017-004-02-00	1623-2017-4-P2	8/25/2017	10/1/2017	6/28/2019

Project Description

This project funds the administrative expenses of operating the Hawaii Mass Transit Agency. Project administrative expenses will be utilized to cover cost such as the lease of a multipurpose copier-scanner-fax machine, marketing expenses, office supplies, etc.

Project Benefits

Administrative expenses are utilized to maintain reliable transportation services.

Additional Information

None provided.

Location Description

County of Hawaii

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawai

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: 8/15/2017

Description: Hawaii department of Transportation STIP pages for FTA projects

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$43,000
Local			\$10,750
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit Adjustment	\$0
Total Eligible Cost	\$53,750

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2017-004-02-00	620-00 (620-A4) PROJECT ADMINISTRATION	\$43,000.00	\$10,750.00	\$53,750.00	1
HI-2017-004-02-00	11.79.00 PROJECT ADMINISTRATION	\$43,000.00	\$10,750.00	\$53,750.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

This project funds the administrative expenses of operating the Hawaii Mass Transit Agency.

Project administrative expenses will be utilized to cover cost such as the lease of a multipurpose copier-scanner-fax machine, marketing expenses office supplies , etc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$43,000
Local			\$10,750

Local/In-Kind	\$0
State	\$0
State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$53,760

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	
End Date	6/28/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering, and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: State Administrative Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2017-004-03-00	1623-2017-4-P3	8/25/2017	10/1/2017	12/31/2018

Project Description

This project funds the State administrative cost to provide program oversight

Project Benefits

Ensure the overall compliance with the 5311 program requirements

Additional Information

None provided.

Location Description

Statewide counties of Hawaii, Kauai, Maui and Oahu

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard
Hawaii	1	Colleen Hanabusa

Program Plan Information**STIP/TIP**

Date: 8/15/2017

Description: Hawaii Department of Transportation STIP pages for the FTA programs

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$75,189
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$75,189

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2017-004-03-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$75,189.00	\$0.00	\$75,189.00	1
HI-2017-004-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$75,189.00	\$0.00	\$75,189.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

This project funds the State administration cost to provide program oversight

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$75,189
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$75,189

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	
End Date	12/31/2018	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)
--

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Project Title: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2017-004-04-00	1623-2017-4-P4	8/25/2017	10/1/2017	12/31/2018

Project Description

Transit related training for subrecipients and HDOT

Project Benefits

Increased technical capacity assures compliance and better service/operation to the community.

Additional Information

None provided.

Location Description

State of Hawaii counties (Hawaii, Kauai, Maui and Oahu)

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard
Hawaii	1	Colleen Hanabusa

Program Plan Information

STIP/TIP

Date: 8/15/2017

Description: Hawaii Department of Transportation STIP pages for FTA programs

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$95,331
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$95,331

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2017-004-04-00	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$95,331.00	\$0.00	\$95,331.00	0
HI-2017-004-04-00	43.50.01 TRAINING	\$95,331.00	\$0.00	\$95,331.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING					
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity	
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0	

Extended Budget Description

RTAP funds are pooled and distributed to any eligible recipient and purpose through the use of a scholarship application available online. HDOT uses part of these funds for staff training and support of common training sessions for subrecipients.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$95,331
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment	\$0
Total Eligible Cost	\$95,331

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	
End Date	12/31/2018	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By	Ted Matley
Comment Type	FTA Post Review Comments for Grantee
Date	8/31/2017
Comment	Budget says total is \$2,846,840, text below states totals are different. Please reconcile. Section 5311 - \$2,538,189, Section 5311(b)(3) - \$ 95,331, Total - \$2,633,520 Pit appears there are different projects with what may be duplicate State Program admin and RTPA Is that on purpose?

Comment By	Ted Matley
Comment Type	FTA Post Review Comments for Grantee
Date	9/12/2017
Comment	Financial review comment. Please address. The RTAP funding under project 1 needs to be moved to project 4. Also, the executive summary funding summary does not match the budget. Otherwise funding is confirmed.

Comment By	Ted Matley
Comment Type	FTA Post Review Comments for Grantee
Date	9/13/2017
Comment	returned to correct budget info

Application Review Comments

Comment By	Tad Nakayama
Comment Type	Recipient Budget Revision
Date	5/21/2020
Comment	

Comment By	Darin Allan
------------	-------------

Comment Type FTA Budget Revision
Date 5/26/2020
Comment

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-23, October 1, 2016)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(23), October 1, 2016, <http://www.fta.dot.gov>.
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal grant as follows:

Recipient Information

Recipient Name: Transportation, Hawaii Department Of

Recipient ID: 1623

DUNS No: 824671465

Award Information

Federal Award Identification Number: HI-2017-004-00

Award Name: 5311 Formula Grants For Rural Areas Kauai, Maui and Hawaii

Award Start Date: 9/15/2017

Original Award End Date: 6/28/2019

Current Award End Date: 7/1/2021

Award Executive Summary: Executive Summary

This application includes one year of apportionments for Section 5311

FFY 2015, 49 USC 5311 - NONURBANIZED AREA FORMULA PROGRAM

Section 5311 - \$2,538,189

Section 5311(b)(3) - \$ 95,331

Total - \$2,633,520

A. County of Kauai

30.09.01 Operating Assistance

Funding breakdown (50% Fed, 50% local match and project total):

Federal: \$985,200 County: \$985,200 Total: \$1,970,400

Source of Local funds: County of Kauai Budget

General Explanation of project(s): Funds to be used to operate the transit system, including operating expenses for transit vehicles and equipment and transit facility maintenance and repairs.

End Date: October 31, 2018

This application DOES NOT include funds for research and Development Activities.

NO Indirect costs will be applied to this application.

B. County of Maui

30.09.01 Operating Assistance

Funding breakdown (50% Fed, 50% local match and project total):

Federal: \$492,600 County \$7,552,125 Total: \$8,044,725

Source of Local funds: Maui Bus Fixed Route Service contract- funded with County highway funds

General Explanation of project(s):

Funds will be used to operate the County of Maui's Maui Bus Fixed Route System for the County FY 2018. The estimated number of passenger trips is 2,100,000.

End Date: August 31, 2018

This application DOES NOT include funds for research and Development Activities.

NO Indirect costs will be applied to this application.

C. County of Hawaii

30.09.00 Operating Assistance 50%/50%

Federal: \$942,200 County \$942,200 Total: \$1,884,400

11.79.00 Project Administration 80%/20%

Federal: \$43,000 County: \$10,750 Total: \$53,750

Source of Local funds: County of Hawaii Highway Funds

General Explanation of project(s): Project will cover operational, administrative costs

End Date: June 28, 2019

This application DOES NOT include funds for research and Development Activities.

NO Indirect costs will be applied to this application.

43.50.01 RTAP - Funds to be used for management training and training for service providers on contract such as mechanics, drivers, and transit workshops and peer exchange.

Federal: \$95,331 State: \$0.00 Total: \$95,331

11.80.00 State of Hawaii – State Administration - Funds to be used for staff and office costs to provide oversight to subrecipients.

Federal: \$75,189 State: \$0.00 Total: \$75,189

RTAP funds are pooled and distributed to any eligible recipient and purpose through the use of a scholarship application available online. HDOT uses part of these funds for staff training and support of common training sessions for subrecipients.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Award Budget

Total Award Budget: \$12,123,795.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$0.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,633,520.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$9,490,275.00

Award Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$2,633,520
Local			\$9,490,275
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,123,795

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: DOL Concurs - Certified

Original Certification Date: 9/15/2017

Special Conditions

There are no special conditions.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:
Edward Carranza
Supervisory Senior Operations Manager
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info: edward.carranza@dot.gov
Award Date: 9/15/2017

EXECUTION OF THE GRANT AGREEMENT

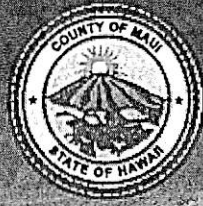
Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:
Ryan Fujii
Programming Section Head

Transportation, Hawaii Department Of
9/16/2017

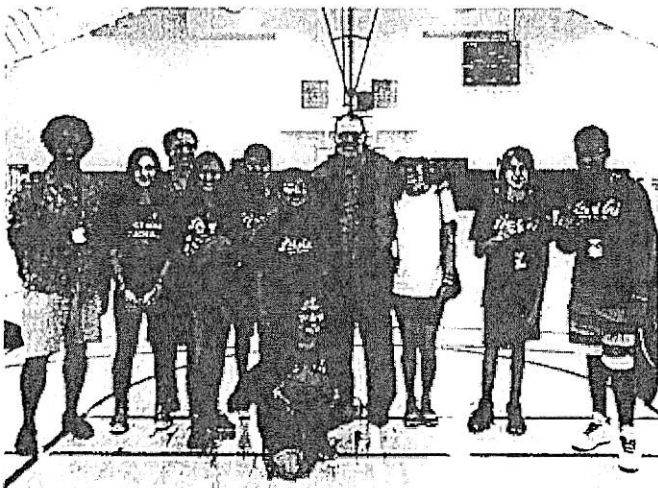
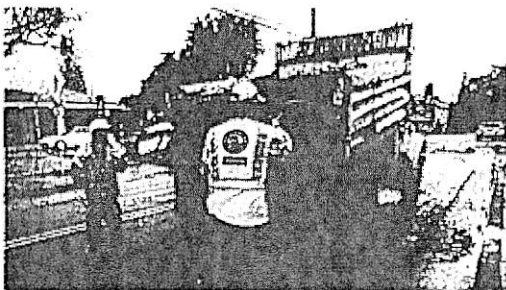


TRANSPORTATION

FY 2021 COUNCIL ADOPTED BUDGET

MICHAEL P. VICTORINO

*Mayor,
County of Maui*



ORDINANCE NO. 5099

BILL NO. 64 (2020)
Draft 1

A BILL FOR AN ORDINANCE RELATING TO THE
OPERATING BUDGET FOR THE COUNTY OF MAUI
FOR THE FISCAL YEAR JULY 1, 2020 TO JUNE 30, 2021

BE IT ORDAINED BY THE PEOPLE OF THE COUNTY OF MAUI:

SECTION 1. This ordinance may be referred to as the "Fiscal Year 2021 Budget."

SECTION 2. The several amounts listed below are declared to be estimated revenues of the County of Maui for the Fiscal Year July 1, 2020 through June 30, 2021, and are appropriated to the functions, programs, funds, departments, and agencies for the purposes set forth in Sections 3 and 4.

ESTIMATED REVENUES

FROM TAXES, FEES AND ASSESSMENTS:

Real Property Taxes	376,419,651
Circuit Breaker Adjustment	(494,410)
Charges for Current Services	138,466,202
Transient Accommodations Tax	0
Public Service Company Tax	7,000,000
Licenses/Permits/Others	40,193,252
Fuel and Franchise Taxes	21,200,000
Special Assessments	4,458,329
Other Intergovernmental	14,270,000

FROM OTHER SOURCES:

Interfund Transfers	43,001,438
Bond/Lapsed Bond	81,883,000
Carryover/Savings:	
General Fund	50,427,508
Sewer Fund	3,761,039
Highway Fund	10,038,950
Solid Waste Management Fund	169,318
Environmental Protection and Sustainability Fund	6,113,732
Liquor Fund	727,056
Bikeway Fund	122,241
Water Fund	24,856,507

TOTAL ESTIMATED REVENUES

822,613,813

a. Federal Highway Administration, Federal-Aid and Other Transportation Grants	17,553,000
b. State of Hawaii Department of Transportation – Safe Routes to School Program Special Fund	175,000
12. Department of Transportation	
a. Federal Transit Administration (FTA) and Other Transportation Program Grants for Maui Metropolitan Planning Organization (MPO) (1) Disbursement for salaries and premium pay is limited to 2.0 LTA equivalent personnel.	625,000
b. Federal Transit Administration (FTA) Section 5311 Rural Transit Assistance Program (RTAP)	20,000
c. Federal Transit Administration (FTA) Section Rural/5339 Formula Funds Program	500,000
d. Federal Transit Administration (FTA) Section Small Urban/5339 Formula Funds Program	700,000
e. Federal Transit Administration (FTA) Section 5311 Non-Urbanized Area Formula Program	1,200,000
f. Transportation Program Grants	4,500,000
g. Urbanized Area Formula Program 5307	2,500,000
13. Department of Water Supply	
a. State of Hawaii, Department of Land and Natural Resources	1,000,000
14. Additional Revenues Received Pursuant to the Foregoing Grants (1) A quarterly report must be submitted to the Council on excess grant revenues received by the County.	500,000